

10/593967
10/593967
Rec'd PCT/PTO 22 SEP 2006

Attorney Docket: FBR/Risk/001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of:

Gilligan

Application No.: New (filed herewith)

Group Art Unit: Unknown

Filed: September 22, 2006

Examiner: Unknown

Title: Accumulation of Return and Risk of an Investment Portfolio

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Prior to examination of the above-referenced application, filed herewith, please amend the application as follows: